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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.   | CONFIRMATION NO. |
|--|-------------|----------------------|-----------------------|------------------|
| 10/560,905   | 10/23/2006  | Masaru Kariyama      | 14010-000008/US       | 2366             |
| 30593 7590 11/13/2009<br>HARNESS, DICKEY & PIERCE, P.L.C.<br>P.O. BOX 8910 |             |                      | EXAMINER              |                  |
|  |             |                      | GEBREMARIAM, SAMUEL A |                  |
| RESTON, VA 20195   |             |                      | ART UNIT              | PAPER NUMBER     |
|  |             |                      | 2811                  |                  |
|  |             |                      |                       |                  |
|  |             |                      | MAIL DATE             | DELIVERY MODE    |
|  |             |                      | 11/13/2009            | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|  | Application No.   | Applicant(s)     |  |  |  |  |
|--|---|------------------|--|--|--|--|
| Office Action Comments   | 10/560,905  | KARIYAMA, MASARU |  |  |  |  |
| Office Action Summary  | Examiner  | Art Unit         |  |  |  |  |
|  | SAMUEL A. GEBREMARIAM   | 2811             |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address<br>Period for Reply  |   |                  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                  |  |  |  |  |
| Status   |   |                  |  |  |  |  |
| 1)⊠ Responsive to communication(s) filed on <u>23 Ju</u>   | lv 2009   |                  |  |  |  |  |
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|  | , <del></del>   |                  |  |  |  |  |
|  | · · · · · · · · · · · · · · · · · · ·                               |                  |  |  |  |  |
| closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  |   |                  |  |  |  |  |
| Disposition of Claims  |   |                  |  |  |  |  |
| 4) Claim(s) <u>1-13</u> is/are pending in the application.   |   |                  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.   |   |                  |  |  |  |  |
| 5) Claim(s) is/are allowed.  |   |                  |  |  |  |  |
| 6) Claim(s) is/are allowed.  |   |                  |  |  |  |  |
| ·  |   |                  |  |  |  |  |
| · · · · ·  | la stia a na surina sa sa t   |                  |  |  |  |  |
| 8) Claim(s) <u>1-13</u> are subject to restriction and/or election requirement.  |   |                  |  |  |  |  |
| Application Papers   |   |                  |  |  |  |  |
| 9)☐ The specification is objected to by the Examine  | •   |                  |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.   |   |                  |  |  |  |  |
|  |   |                  |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |                  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |                  |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |                  |  |  |  |  |
| Priority under 35 U.S.C. § 119   |   |                  |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |   |                  |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date   | 4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal Pa | te               |  |  |  |  |

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1. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claim(s) 1-5, drawn to a device characterized by a drift region formed in the semiconductor substrate under at least one edge side channel length direction of the gate electrode.

Group II, claim(s) 6-13, drawn to a process removing the pattern and forming an interlayer insulating film over the entire surface of the semiconductor substrate.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Restriction under unity of invention in national stage applications submitted under 35 U.S.C. § 371 is proper when two criteria are met: (1) the different groups of claims are listed; and (2) lack of unity identifying the unique special technical features of each group is explained. See MPEP § 1893.03(d).

The inventions listed above as Groups I and II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features because each group includes different special technical features that define a different contribution which each of the groups, considered as a whole, makes over the prior art. See MPEP § 1850. Additionally the

process of Group II is not specially adapted to device group I, because it does not inherently result in the device of group I.

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Applicant is advised that the reply to this requirement to be complete must include (i) an election of an invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

## Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SAMUEL A. GEBREMARIAM whose telephone number is (571)272-1653. The examiner can normally be reached on 8:00am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynne Gurley can be reached on (571) 272-1670. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Samuel A Gebremariam/ Examiner, Art Unit 2811

/SAG/ November 9/2009